

CHANGE OF CONTACT INFORMATION FORM

Today's Date:

For questions please call your representative

CUSTOMER CONTACT INFORMATION

Account Name:

Account #:

Client's OLD Phone Number:

Client's NEW Phone Number:

Client's OLD Cell Phone Number:

Client's NEW Cell Phone Number:

Client's OLD Email:

Client's NEW Email:

NOTE: If you are changing your email for Pershing Online Access, please attach the Pershing "Online Access and Electronic Delivery Form" to this request. This is required to process the email update.

OLD LEGAL address:

NEW LEGAL address:

OLD MAILING address (if different than legal):

NEW MAILING address (if different than legal):

Authorized Signature

Authorized Signature

Authorized Signature

Representative's Name: _____

Representative's Signature: _____

REP Certification (Sign above & include Rep number) Rep certifies that he/she **has confirmed verbally with client** and that ALL signatures are legitimate and compare favorably with the one(s) on file; has checked with the home office for verification of state registration or country approved list. If a PRG domestic account, Rep certifies that he/she is registered in the MAILING ADDRESS STATE as **broker**. If a PRAG account, Rep certifies that, if required, he/she is registered in the MAILING ADDRESS STATE as **advisor**.

ALL ACCOUNT HOLDERS MUST SIGN

Note: Account Mailing address (address where you would like your mail sent)

FOR INTERNAL USE ONLY

If a PRG domestic account, is the REP registered in the MAILING ADDRESS STATE?

 Yes

 No

N/A Foreign Address

Verified by _____

If a PRAG account, is the REP (if required) registered in the MAILING ADDRESS STATE (always required for HI, LA, NE, NH and TX); or does the DE MINIMIS rule apply (Not applicable for WY)?

 Yes

 No

N/A Foreign Address

Verified by _____

Approved by _____